IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. **AUS990339US5**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of Inventor(s):
Peter C. Bahrs and Manish Mahesh Modh

For: **Method and Apparatus in a Data Processing System For The Issuance And Delivery Of Lightweight Requests To Concurrent And Multiple Service Providers**
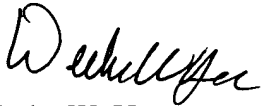
Enclosed are also:

X	153	Pages of Specification including an Abstract
X	86	Pages of Claims
X	136	Sheet(s) of Drawings
X		A Declaration and Power of Attorney
X		Form PTO 1595 and assignment of the invention to IBM Corporation
X		Preliminary Amendment
X		Statement Deleting Inventors

CLAIMS AS FILED

FOR	Number Filed		Number Extra		Rate		Basic Fee ($760)
Total Claims	21	-20 =	1	X	$ 18	=	$18.00
Independent Claims	4	-3 =	1	X	$ 78	=	$78.00
Multiple Dependent Claims	0			X	$260	=	$
				Total Filing Fee		=	$856.00

__X__ Please charge $856.00 to IBM Corporation, Deposit Account No. 09-0447.

__X__ The Commissioner is hereby authorized to charge payment of the following fees associated with the communication or credit any over payment to IBM Corporation, Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.

   X   Any additional filing fees required under 37CFR § 1.16.

   X   Any patent application processing fees under 37CFR § 1.17.

Respectfully,

[signature]

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Please type a plus sign (+) inside this box → [+]

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	AUS990339US5
First Inventor or Application Identifier	Peter C. Bahrs, et al.
Title	See 1 in Addendum
Express Mail Label No.	EL358673770 US

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. [X] *** Fee Transmittal Form** *(e.g., PTO/SB/17)*
   (Submit an original and a duplicate for fee processing)

2. [X] **Specification** [Total Pages 239]
   (preferred arrangement set forth below)
   - Descriptive title of the Invention
   - Cross References to Related Applications
   - Statement Regarding Fed sponsored R & D
   - Reference to Microfiche Appendix
   - Background of the Invention
   - Brief Summary of the Invention
   - Brief Description of the Drawings *(if filed)*
   - Detailed Description
   - Claim(s)
   - Abstract of the Disclosure

3. [X] **Drawing(s)** *(35 U.S.C. 113)* [Total Sheets 136]

4. **Oath or Declaration** [Total Pages 9]
   a. [ ] Newly executed (original or copy)
   b. [X] Copy from a prior application (37 C.F.R. § 1.63(d))
      (for continuation/divisional with Box 16 completed)
      i. [X] DELETION OF INVENTOR(S)
         Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

> *** NOTE FOR ITEMS 1 & 13** IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

5. [ ] Microfiche Computer Program *(Appendix)*

6. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
   a. [ ] Computer Readable Copy
   b. [ ] Paper Copy (identical to computer copy)
   c. [ ] Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. [X] Assignment Papers (cover sheet & document(s))
8. [X] 37 C.F.R.§3.73(b) Statement *(when there is an assignee)* [X] Power of Attorney
9. [ ] English Translation Document *(if applicable)*
10. [ ] Information Disclosure Statement (IDS)/PTO-1449 [ ] Copies of IDS Citations
11. [X] Preliminary Amendment
12. [X] Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
13. [ ] * Small Entity Statement(s) (PTO/SB/09-12) [ ] Statement filed in prior application [ ] Status still proper and desired
14. [ ] Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
15. [ ] Other: ....
    ....
    ....

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16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

[ ] Continuation [X] Divisional [ ] Continuation-in-part (CIP) of prior application No: **09/366,404**

Prior application information: Examiner **Unknown** Group / Art Unit: **2731**

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

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Signature		Date 10/29/99

Addendum

1. Method and Apparatus in a Data Processing System For The Issuance And Delivery Of Lightweight Requests To Concurrent And Multiple Service Providers